# GSD 5216 Analytic Methods: Qualitative 2017 Syllabus DRAFT

Fall 2017, Location: Gund 518; Time: Tu/Th 10:00-11:30 am  
Instructor: Ann Forsyth, 309 Gund Hall, aforsyth@gsd.harvard.edu

Office Hours: Typically Mondays 4-6; Wednesdays 3-5; Fridays 1-3,  
Sign-up: [http://annforsyth.net/for-students/logistics/](http://annforsyth.net/for-students/logistics/)  

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1. Basic Timetable

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<th>Week</th>
<th>Assignments due Thursdays before the start of class except where noted</th>
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<tr>
<td>Week 1: Qualitative methods in practice and research</td>
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<td>Week 2: Arguing</td>
<td>1: Arguing: Diagrams (Oct 26)</td>
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<td>Week 3: Collecting</td>
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<td>Week 5: Asking</td>
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<td>Week 6: Engaging</td>
<td>4: Engaging: Visual Culture (due Tuesday Nov 21)</td>
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<td>Week 7: Implementing qualitative investigations</td>
<td>6: Implementing (Nov 30)</td>
</tr>
<tr>
<td>Review and Exam Period</td>
<td>7: Reflecting and Proposing (December 8, 5pm)</td>
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2. Course Aims

Overview

How can planners understand places in a rich, meaningful, and yet systematic way? This module examines how qualitative approaches can be used in planning practice and research. Qualitative methods are particularly useful in answering why and how questions; investigating differing perceptions and values; understanding unique situations; and helping describe complex situations.

Focused on learning-by-doing, the class examines how to design a qualitative research project and reviews a range of data collection and analysis methods useful in community and organizational environments. With the aid of well-thought-out conceptual frameworks, qualitative research can be designed to make a coherent and meaningful argument. Students learn about collecting and reviewing artifacts, observing places, asking questions, engaging with diverse groups, and using visual techniques. Such data are frequently organized into specific kinds of outputs including case studies, scenarios, and evaluations. Students will try out these approaches in weekly exercises.

Learning Objectives and Outcomes

By the end of the class students will be able to:

1. Identify the range of qualitative methods commonly used in planning practice globally, including methods planners use themselves and those used in research planners commission and/or read.
2. Use different qualitative data collection and analytical approaches.
3. Comprehend the strengths and limitations of qualitative approaches and how they can be combined with other methods (mixed-method approaches).
4. Understand how qualitative methods can aid more complex and systematic understanding of urban places.
5. Critically assess qualitative research designs and outputs.
6. Design common forms of qualitative studies e.g. assessing existing conditions, evaluating an intervention, preparing a case study, developing future scenarios.
7. Appreciate ethical issues in qualitative research and their relationship to planning ethics more generally.
3. Logistics

Readings

There will be three required text books which will be in the library and can be bought at the coop or online.


One additional book is required readings but you could get away using the reserve copy as it is only used for one week.


Other required readings will be available online and in the library.

One final book is recommend but I have not ordered into the coop. It is available online in second hand and eBook editions for about $5 each.


Other

Contacting the Instructor

I have lots of office hours in 309 Gund—about 3-4 times as many as is typical. To sign up for office hours go to http://annforsyth.net/, click on the “office hours” link on the top right, and follow the instructions. You can also just turn up at office hours but may need to wait. If you just pop by outside office hours I’m typically busy with other work and will just ask you to sign up for the next available slot.

There is a great deal of advice for students at http://annforsyth.net/for-students/. It may answer your question.

Academic Integrity

You are expected to adhere to high standards of academic integrity as outlined in university policy: http://courses.dce.harvard.edu/“phils4/honesty.html. Pay particular attention to the resources on plagiarism at the bottom.

Accommodations for Students with Disabilities

Students needing academic adjustments or accommodations because of a documented disability must present their Faculty Letter from the Accessible Education Office (AEO) and speak with me (Ann) by the end of the second week of the term. Failure to do so may result in my inability to respond in a timely manner. All discussions will remain confidential, although faculty members are invited to contact AEO to discuss appropriate implementation.
**Technology in the Classroom**
I do not grade participation, only outputs, but when you are in the classroom you are expected to be fully present. For that reason anyone who wishes to use an electronic device during class time (apart from specific collaborative working time) will need to meet with me outside of class and explain why it is absolutely necessary. Such devices include phones, laptops, tablets, and other gadgets capable of connecting to the internet or phone system. Unless you have explicit permission from me you will need to turn off and store such devices.

The one exception is the class on library resources.

To learn more about why this is useful please see Professor Stephen Chew’s five terrific short videos on metacognition: http://www.samford.edu/how-to-study/. This article is also useful: http://m.theatlantic.com/technology/archive/2014/05/to-remember-a-lecture-better-take-notes-by-hand/361478/  

### 4. Course Requirements and Grading Summary

**Homeworks and Readings**
The class will be assessed on the best five of seven homeworks explained in detail at the end of the syllabus. They are due at the **beginning of class** on the course web site. **LATE PAPERS ARE NOT ACCEPTED**. The final assignment allows you to either reflect on the use of qualitative methods or revise and lengthen a prior assignment to create a proposal for future research/investigation.

There are also weekly readings and you are expected to do them before class. They will help you and we will discuss them. **However, some are long**—in those cases read the introduction and conclusion and skim the rest.

**Timeliness**
Short illnesses, family events, etc. should be dealt with using the flexibility of being able to drop paper grades for short assignments. That is, assume you will be sick some time; an illness of a day or two is not an excuse for a late paper. Those with religious holidays that make it impossible to hand in something need to inform Ann Forsyth in writing at least a week in advance. If you do have a significant illness that incapacitates you for **several weeks** you need to inform Ann Forsyth ASAP.

**What Ann Promises in Return**
If students do the work described in this syllabus in a timely manner, I promise return work promptly with comments, or rather I will return marked up grade sheets. I will also give you opportunities for feedback about the course.

### 5. Tentative Course Schedule

**Week 1, Oct 17/19: Qualitative Methods in Practice and Research**
Topics:
- Class introduction
- Domain of qualitative work
- The research process—research (generalizable knowledge) vs. investigation (project-specific, practice-oriented)
- How qualitative research has formed, and re-formed, the field including classic studies
• Research ethics, human subjects, and professional ethics
• Preparation: Introduction to “arguing” homework

Readings (read by Thursday):
• AICP Code of Ethics: https://www.planning.org/ethics/ethicscode.htm (particularly principles and rules)

Week 2, Oct 24/26: Arguing

Topics:
• Homework due/discussion Thursday: Arguing
• Making an argument—Booth et al. version
• Designing research/investigation to make an argument
• Conceptual frameworks, logic models, etc.
• Audience/investigator role
• Presenting/reporting qualitative information
• Making an argument about the future
• Preparation: Introduction to “collecting” homework

Readings:

Week 3, Oct 31/Nov 2: Collecting

Topics:
• Homework due/discussion Thursday: Collecting
• Existing information—the big picture
• Accessing primary sources
• Organizing data
• Analyzing collected materials
• The (literature) review
• Preparation: Introduction to “observing” homework
• Guest: Sara Dickinson, Loeb Library

Readings:
• Gaber J. and S. Gaber. 2007. Qualitative Analysis for Planning and Policy. Chapter 5: Content analysis and meta-analysis, pages 103-122).
 WEEK 4, NOV 7/9: OBSERVING

Topics:
- **Homework due/discussion Thursday:** Observing
- Spectrum of observations
- Classic examples
- Organizing and analyzing observations
- Photography
- Outsider perspectives and cultural competency
- **Preparation:** Introduction to “asking” homework

Readings:

 WEEK 5, NOV 14/16: ASKING

Topics:
- **Homework due/discussion Thursday:** Asking
- The range of surveys and interviews
- Interview and survey design—semi-structured and structured
- Recap on sampling
- Steps in implementing surveys, interviews, and group methods
- **Preparation:** Introduction to “engaging” homework

Readings:
- Gaber J. and S. Gaber. 2007. *Qualitative Analysis for Planning and Policy. Chapter 4: Focus group research* (part, pages 73-90).

 WEEK 6, NOV 21: ENGAGING

Topics:
- **Homework due/discussion Thursday:** Engaging
- Basic landscape of participation
  - What: levels of influence, burden/complexity
  - Who: populations, participation fatigue
- Planning engagement
- Specifically visual methods
- Engaging with each other—team process/methods
- **Preparation:** Introduction to “evaluating” homework

Readings:
- Participation Compass. 2015. http://participationcompass.org/ [spend a little time investigating]

**WEEK 7, NOV 28/30: IMPLEMENTING QUALITATIVE INVESTIGATIONS**

**Topics:**
- **Homework due/discussion Thursday:** Implementing
- The research process revisited
- Formats:
  - Case studies
  - Evaluations
  - Policy analyses
  - Assessments
  - Scenarios
  - Histories
- Mixed methods approaches
- **Preparation:** Introduction to “reflecting” homework

**Readings:**

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### 6. Homeworks

Note: Homeworks are handed in in a way that allows others in the class to see them. This is to help foster discussion.

#### 1: Arguing: Diagrams

**Big Idea**

Analyze the argument structure in a short document you are using in another class.

**Exercise**

- Obtain a document you are using in another class, one that makes an argument. It can be an academic paper though it can be helpful to use a practice document—such as an urban plan—so you can understand arguments in practice.
- Diagram the overall argument using an approach similar to Booth et al. Also diagram a specific sentence or paragraph that is key.
- Write up to one page discussing the strengths and weaknesses of the argument and how it could be strengthened. You can include extra diagrams showing changes to the argument structure, new data sources and the like. The total should be a maximum of 4 pages.
Better papers provide information about the document’s context/audience, explain their diagrams clearly, cover all the parts of the argument (e.g. warrants, responses) or mention what is missing, and label diagrams.

**2: Collecting: Content Analysis**

**BIG IDEA**
Perform a content analysis of an archival document, plan, or planning report (i.e. not an academic study).

**EXERCISE**
- Find an existing document or series of short documents that interest you (e.g. that you are using in another course such as studio). For example there are several planning documents relevant to the sites of the first semester studio.
- Cite the source of the document or database used.
- Explain the document(s)—length, context, main aims.
- Perform a content analysis on it as outlined in Gaber and Gaber.
- Present your specific approach and findings in a charts and/or graphs and up to one page of text (4 pages max, with a maximum of 2 of text including the reflection in the next line).
- Your findings should include a reflection of up to one page on uses for this approach more generally in planning, including strengths, weaknesses, and possibly how to improve the analysis with more time.
- Better answers define terms/concepts to examine using theory or an initial scan, look at longer documents or have more than one iteration analyzing a short document or group of documents (e.g. investigating something that turned up in the first analysis).

**3: Observing: Complete/Unstructured Fieldwork**

**BIG IDEA**
This exercise will help you observe a place and reflect on the strengths and weaknesses of complete and unstructured observation as a method of data collection in planning.

**OVERVIEW OF EXERCISE**
- Select a place to observe, preferably somewhere relevant to another course e.g. studio. It can be:
  - A public meeting, for example one of the community meetings in in the site of your studio.
  - Somewhere that sells inexpensive food you can visit in person and sit down either in the store/restaurant or on a bench or chair immediately outside. Think places with meals under $8-10 where construction workers, parents with small children, or older people on limited incomes might go.
  - A transit station such as a bus terminal or T stop.
- Visit it for approximately 1 hour (or the length of the public meeting if it is longer) and observe it using only your own observations (note taking), sketches and diagrams (optional but useful), and a camera (if possible and appropriate). You can engage in informal conversation as well.
- The assignment is to provide a rich description of up to 2 8.5*11 inch pages of text stating what it feels like to be in the place—what is happening, its physical character, etc. It would also be interesting to hear your initial and final thoughts about the place.
- Photos, diagrams, and sketches can be an additional 2 more pages and should be annotated or captioned.
• All options would look at social interactions. If you choose the meeting you will comment a bit more on the structure of the meeting; for the restaurant or transit station it would be more on the place.
• The rich description should conclude with a reflection of up to a page on what you learned from the exercise, its relevance for planning investigations, and the strengths and limitations of complete/unstructured observations.
• It is fine to lay out on 11*17. The maximum length is 4 8.5*11 inch pages or 2 11*17 inch pages.
• Better assignments really get into observing the details of the place/meeting, explain how a series of such observations could provide useful data for planning, link their assignments to course readings and discussions, and explain ways to overcome limitations in terms of the observational method.

4: ASKING: SURVEY CRITIQUE

BIG IDEA
Take an existing survey, critique it, and redesign at least one question.

EXERCISE
• Obtain an existing online or mail survey that may be related to a current project of yours—for example a survey being used in Roxbury. If there is no relevant survey then pick one of those in the resource list below.
• In up to 2 pages of text and 2 more pages of illustrations of questions (a) critique the survey (strengths and weaknesses, drawing on Fowler and other course resources) and (b) choose one or more questions to redesign explaining your logic. It can be helpful to give a couple of versions of a re-design.
• Total length should be no more than 4 pages (text + illustrations)
• Better answers orient the reader to the overall survey (length, topics, flow, question types, layout, audience), consider intended audience in their critiques, and mock up the revision graphically.

RESOURCES (BUT FEEL FREE TO FIND YOUR OWN)

USA
• Roxbury Engagement Survey. 2015. https://docs.google.com/forms/d/e/1FAIpQLScJQz8FkgB5uhDKeAPtqTnx8o3O0d3NUZBK7L81vbNVfDBMw/viewform
• Envision Cambridge Survey 04: Our Vision and Core Values. 2016. https://docs.google.com/forms/d/e/1FAIpQLSfh1Yc7C8WMWva8bKx7Ogq0xBNSCAk7F_aSD1FtPnR-JQ69BA/viewform

ABROAD
5: ENGAGING: VISUAL CULTURE

BIG IDEA
Design an approach to collecting data/engaging communities with planning issues that is culturally responsive and uses broadly visual methods.

EXERCISE
• In the context of a neighborhood planning exercise such as a studio project, and a specific set of questions you need to answer, devise an approach to collecting qualitative data while engaging community members with planning issues.
• It should be able to reach those with low incomes or otherwise hard to engage (e.g. the very old, those with disabilities, speaking non-dominant languages, etc.). This about how to access such populations, make the process interesting for them, and also to minimize burden and participation fatigue.
• You should explain the approach in some depth—showing how it is visual/graphical, how it answers the questions you are posing, and the steps you’d need to take to carry it out in that area. Methods might be mapping, responding to images, creating models, etc.
• Explain why it is appealing and relevant and what might be its weaknesses, including ethical considerations. This should refer to reading and other class materials.
• This will take about 2-4 pages plus references (you should cite the sources of the strategies). Illustrations welcomed.
• Better answers really use the engagement resources in the class to move beyond what the author already knows, set out the steps clearly, and thoughtfully balance the need for simple processes with the benefits of multi-stage or iterative approaches (that allow additional learning).

6: IMPLEMENTING A STUDY: DESIGNING A RESEARCH PROJECT OR INVESTIGATION

BIG IDEA
This exercise is to use qualitative methods in planning research and practice, designing a study for formal academic research or practical investigation.

EXERCISE
• In 2-4 pages of text plus optional diagrams (4 pages max.), either redesign a project you have already completed or design one you intend to do.
• State the key question, the problem it is answering, conceptual framework or theory (this is about what variables matter, why, and how), data collection and analysis methods, and a timeline.
• Key points to cover include (a) how you will gain access to data and (b) (briefly) why you chose these methods and not others.
• Also state if this is academic research or practical investigation.
• Better answers will make it clear why this is important question, actually diagram the conceptual framework/theory/variables, reflect topics dealt with in the class, use multiple methods to triangulate, have appropriate levels of reliability and validity built in, and consider research ethics. Better answers will not just use a survey.

7: REFLECTING AND PROPOSING
DUE FRIDAY, DECEMBER 9, 5PM

BIG IDEA
This exercise is either reflect on how to use qualitative approaches in planning or to refine one of the prior assignment to write a more substantial research/investigation proposal.
**Exercise**

- There are 2 possible outputs—(A) create a separate reflection paper of 2-4 pages of text plus optional diagrams (4 pages max.) or (B) redraft our original paper to create a proposal, in which case you can go up to 6-8 single spaced pages plus references. **Indicate clearly which option yours represents.**
- The reflection will deal with how useful qualitative methods may or may not be in planning.
- The redrafting will be focused on creating a practical investigation of research proposal that extends your prior work.
- If you are redrafting/expanding an earlier paper please re-upload the original so I have it easily available.
- Better answers will show substantial reflection and/or changes to the original, clearly tied to class content.

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**Crucial Advice on Grades and Producing Quality Work**

**Grading Numbers**

The GSD uses an unusual grading approach: The grade of "Pass" is the standard mark for recognizing satisfactory work and the vast majority of students in any class receive a pass—around 80%. "Distinction" and "High Pass" are reserved for work of clearly exceptional merit. "Low Pass" indicates a performance that, although deficient in some respects, meets minimal course standards (http://www.gsd.harvard.edu/#/gsd-resources/registrar/grading/grades.html). To make it easier for students to track their progress I will assign numerical grades that can then be converted to the GSD system.

- High pass 90%+
- Pass 75%+
- Low pass 65%+

Remember you drop your worst grades.

**Grading Criteria**

I typically grade in two ways. First I check you did all parts of the assignment using criteria taken directly from the assignment descriptions—if it’s a bullet, it will be an item I look for though I may combine some bullets in actual grading (some are really steps along the way to a larger product).

Second I assess how well you completed the work using the matrix below.

<table>
<thead>
<tr>
<th></th>
<th>Very good (High Pass)</th>
<th>Good (Pass)</th>
<th>OK (Low Pass)</th>
<th>Needs Work (Not passing)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overall</td>
<td>Hits on almost all of basic content (what this is depends on the assignment)</td>
<td>Hits on almost all of the basic content + Writing</td>
<td>Hits on some basic content</td>
<td>Hits on a small amount of basic content (one item) and/or Numerous digressions/errors</td>
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<tr>
<td></td>
<td>+ Memorable</td>
<td>Interesting to read</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Argument</td>
<td>Argument is coherent, well organized, interesting, well qualified, with adequate evidence, and memorable—engages the reader with a lively mind</td>
<td>Argument is coherent, well organized, interesting, well qualified, with adequate evidence</td>
<td>Argument is fairly coherent and well organized with some evidence and qualifications</td>
<td>Some confusion/vagueness/parts that don't make sense/missed the point</td>
</tr>
<tr>
<td></td>
<td>Very good (High Pass)</td>
<td>Good (Pass)</td>
<td>OK (Low Pass)</td>
<td>Needs Work (Not passing)</td>
</tr>
<tr>
<td>--------------------------------</td>
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</tr>
<tr>
<td>Sources</td>
<td>Sources are cited (using author/date page); used critically*</td>
<td>Sources are cited; some are used critically</td>
<td>Some sources are missing</td>
<td>Sources are not cited</td>
</tr>
<tr>
<td>Writing</td>
<td>Writing/graphics largely free from errors</td>
<td>Perhaps some writing errors, but none critical for comprehension</td>
<td>More than a few writing errors that may impede comprehension</td>
<td>Many careless writing errors that may impede comprehension</td>
</tr>
<tr>
<td>Graphics and layout</td>
<td>Easy to read fonts. Graphics that are legible and convey information well. Layout that is striking and imaginative</td>
<td>Easy to read fonts. Graphics that are legible and convey information well.</td>
<td>Adequate font size or shape. Adequate graphics though there may be weaknesses in content and/or execution</td>
<td>Tiny and hard to read fonts; graphics that are either difficult to understand or do not convey useful information</td>
</tr>
</tbody>
</table>

*Critical use of sources reflects consciousness of the sources of evidence and methods used in the source and whether they can answer a question appropriately.

This link is also helpful in providing a more global view of grading:
http://isites.harvard.edu/fs/html/icb.topic58474/GradingPapers.html

**Fonts and Layout**

I have set page limits not word limits for this class. I don’t care about the line spacing but text should typically be no smaller than Arial 10pt or Times Roman 11pt. You might use something smaller for a label. You need to provide adequate margins to allow easy comprehension and to provide space for comments in grading. Do not put too many characters on a line. Typically comprehension is easier with ragged layouts—that is don’t line up both sides of text but let the spacing between characters fall more naturally. Also, remember that faculty are typically older than you and our eyesight is often worse—it’s a real strain to read tiny fonts and your materials will be treated much less sympathetically if they are hard to read.

**Writing Instructions**

I advise students to do one of the following activities before handing in any project: (a) put the piece aside for at least a few hours and then go back and edit it for clarity, or (b) get a sympathetic friend to edit it for clarity, or (c) read it out loud and change any sentences that don’t make sense. I do this in my own work as a consideration to those who are reading it (and a few things still slip through)!

Where you cite sources you should use the author-date-page or parenthetical reference/reference list style of citation generally used in the social sciences. For example in the text you list only the author, date and page e.g. (Goldsmith 1994, 3). You then list the full details for the source alphabetically by author’s name in a reference list at the end—if it is a class required reading, however, you can just refer to it in the text and no need to note it in the reference list. If you cite a web site, I need the full URL.

All quotes quoted directly should include the page number in the citation e.g. (Goldsmith 1994, 3). Also cite with a page number all ideas not quoted directly but coming from a specific part of a document. Only when you refer very generally to an entire work should you merely cite the author and date, for example, (Marris 1987).

For more information see a style manual such as Kate Turabian’s (2007) *A Manual for Writers of Research Papers, Theses, and Dissertations* (Chicago: University of Chicago Press) although you should
note that she shows two kinds of citation (footnote and bibliography, and parenthetical reference/reference list) and it is the second of these that I prefer.

I am very concerned that findings are based explicitly on evidence. You will receive a low grade if you fail to cite sources or if they are not listed systematically in the reference list. More about evidence is explained in Booth et al.’s (2008) *Craft of Research* (Chicago: University of Chicago Press).

**Academic Honesty**

Please be familiar with Harvard’s web site on plagiarism: http://isites.harvard.edu/icb/icb.do?keyword=k70847&pageid=icb.page342054. It is inappropriate to use any form of plagiarism. The GSD’s own library has a useful web site as well: http://guides.library.harvard.edu/gsd/write

In addition if you wish to use work that overlaps with another class you can do so only if you have written permission from me. This will reflect an in-person discussion where we figure out how your work can reflect an equivalent effort to other students doing the same assignment.

If you need to use a copy editor to improve your writing, that is fine. However, they should be copy editing not writing the paper. Please let me know if you are using such a service. I won’t grade you down for it but it will help my understanding of your work.

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**Additional Readings**

**Tools, Manuals, and Articles**


